**UCSB Office of Research – Sponsored Projects**

**Questionnaire for NASA Assistance (Grant & Cooperative Agreement) Proposals**

**The lead PI must complete and return this questionnaire to the Sponsored Projects Office, on their own behalf and on behalf of all persons performing any part of the Scope of Work on a NASA grant or cooperative agreement (“Participants”), whether this performance is funded or unfunded, on-campus or remote. Should PI not know the answers for any Participant, the PI must obtain the necessary information from these Participants.**

**TO MAINTAIN COMPLIANCE WITH NASA POLICY AND UCOP GUIDANCE, THIS QUESTIONNAIRE MUST BE COMPLETED, SIGNED, AND SENT TO YOUR SPONSORED PROJECTS TEAM BEFORE SPONSORED PROJECTS WILL BE ABLE TO SUBMIT NASA GRANT OR COOPERATIVE AGREEMENT PROPOSALS.**

**IT IS THE LEAD PI’S RESPONSIBILITY TO UPDATE THIS QUESTIONNAIRE AS NEEDED DURING THE LIFE OF THE PROJECT.**

ORBiT Record or Award Number \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Lead PI Typed Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Lead PI Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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| --- | --- |
| 1. Is any Participant employed by the Chinese government or a Chinese owned company or university?
 | [ ]  Yes[ ]  No |
| 1. Does any non-student Participant receive salary support from the Chinese government or a Chinese owned company or university?
 | [ ]  Yes[ ]  No |
| 1. Does any non-student Participant receive any incidental financial compensation, such as housing or transportation, from the Chinese government or a Chinese owned company or university?
 | [ ]  Yes[ ]  No |
| 1. Does any Participant have a written agreement to become (or to return as) an employee of the Chinese government or a Chinese owned company or university?
 | [ ]  Yes[ ]  No |
| **STOP: IF YOU HAVE ANSWERED YES TO ANY OF THE ABOVE QUESTIONs, YOU (or a Participant) WILL LIKELY NOT BE ABLE TO PARTICIPATE ABSENT NASA APPROVAL. YOU MUST CONTACT YOUR SPONSORED PROJECTS TEAM. IF YOU HAVE ANSWERED “NO,” CONTINUE WITH THIS QUESIONNAIRE.** |
| 1. Is any Participant enrolled at a Chinese university or does any Participant have a written agreement to become (or to return as) as a student of a Chinese university?
 | [ ]  Yes[ ]  No |
| 1. If a Participant is a student, does such Participant receive any type of financial support (other than a scholarship) from the Chinese government or a Chinese owned company or university?
 | [ ]  Yes[ ]  No |
| 1. Does any Participant receive research materials or any other type of goods from China or a Chinese owned company or university? (Do not include the purchase of commercial supplies needed to perform the NASA award scope of work.)
 | [ ]  Yes[ ]  No |
| 1. Is any Participant co-authoring a paper with a person from China or a Chinese owned company or university that is the result of activity funded by NASA after April 2011?
 | [ ]  Yes[ ]  No |
| **IF YOU ANSWERED “YES” TO ONE OR MORE OF QUESTIONS 5-8, YOU (or a Participant) ARE NOT NECESSARILY EXCLUDED FROM PARTICIPATION, BUT YOU MUST CONSULT WITH YOUR SPONSORED PROJECTS TEAM FOR FURTHER ANALYSIS AND GUIDANCE.** |